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PTO/SB/21 (09-0 Approved for use through 07/31/2006. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/656.495 Filing Date TRANSMITTAL September 5, 2003 First Named Inventor **FORM** Steven Jeffrey Goldberg Art Unit Examiner Name Nguyen, Khai Minh (to be used for all correspondence after initial filing) Attorney Docket Number I-2-0397.1US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Request for Refund Form PTO-1449, Copy of Form **Express Abandonment Request** PTO-892, and One Reference CD, Number of CD(s) Information Disclosure Statement Cited. Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name VOLPE AND KOENIG, P.C. Signature Printed name John C. Donch, Jr. Date Reg. No. September 27, 2006 43,593 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Steven Jeffrey Goldberg

Application No.: 10/656,495

Confirmation No.: 8356

Filed:

September 5, 2003

For: VERTICAL DYNAMIC BEAM-

FORMING

Group:

2617

Examiner:

Nguyen, Khai Minh

Our File:

I-2-0397.1US

Date:

September 27, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed PTO-1449 form. Newly cited documents are indicated by an asterisk (*). Copies of the cited documents are enclosed, and pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included.

Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counter part foreign application, and, to the knowledge of the person signing the certification, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was

Applicant: Steven Jeffrey Goldberg Application No.: 10/656,495

known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form indicating his consideration of the cited materials.

Respectfully submitted,

Steven Jeffrey Goldberg

John C. Donch, Jr.

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EXAMINER INITIAL

Sheet 1 of 2 SERIAL NO. FORM PTO-1449 ATTY, DOCKET NO. 1-2-0397.1US 10/656,495 U.S. DEPARTMENT OF COMMERCE **APPLICANT** PATENT AND TRADEMARK OFFICE Steven Jeffrey Goldberg INFORMATION DISCLOSURE FILING DATE **GROUP** STATEMENT BY APPLICANT September 5, 2003 2617 (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** FILING DATE IF APPROPRIATE DOCUMENT NUMBER DATE NAME SUBCLASS CLASS 3,987,454 10/1976 **Epis** 02/1981 Lee 4,249,181 09/1997 5,666,123 Chrystie 5,686,926 11/1997 Kijima et al. 5,771,017 06/1998 Dean et al. 5,818,385 10/1998 Bartholomew 6,016,123 01/2000 Barton et al. 08/2000 Kronestedt 6,104,936 6,282,434 08/2001 Johannisson et al.

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Application/Control No. Applicant(s)/Patent Under Reexamination 11/294,958 JEFFREY GOLDBERG, STEVEN Notice of References Cited Examiner Art Unit Page 1 of 1 Khai M. Nguyen 2617 U.S. PATENT DOCUMENTS **Document Number** Date Classification Name Country Code-Number-Kind Code MM-YYYY 10-1976 343/771 US-3,987,454 Epis, James J. Α US-В US-С US-D US-Ε US-F US-G US-Н US-1 US-J US-K US-М US-FOREIGN PATENT DOCUMENTS Document Number Date Name Classification Country MM-YYYY Country Code-Number-Kind Code 02-2002 Shapira, Joseph WO 02/15326 A2 Ν 0 Ρ Q R S T **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U ٧ W

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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